

## Business Continuity Plan

Effective March 1, 2026

To our Clients and Prospective Clients:

Sendero Wealth Management, LLC (“Sendero” or the “Firm”) is committed to protecting its employees, clients, and their assets, including during emergencies or significant business disruptions. The Firm’s Business Continuity Program (“BCP”) has been developed to provide a reasonable, but not absolute, assurance of business continuity in the event of a disruption to the Firm’s normal operations. No business continuity plan can eliminate all risks associated with an emergency or significant business disruption.

As part of this commitment, and in compliance with applicable internal policies and regulatory requirements, the Firm has developed and implemented a BCP. The BCP is designed to identify the Firm’s critical business risks and document the functional requirements necessary to support the resumption of essential business operations. The Plan documents the actions and procedures to be followed before, during, and after a prolonged service outage resulting from the loss of operational facilities, critical information systems, or essential personnel. In circumstances where business processes require recovery following a significant operational disruption, the BCP includes off-site recovery environments, work-area displacement arrangements, and/or work-from-home capabilities, as appropriate based on the nature and scope of the disruption.

The Firm conducts annual disaster recovery exercises designed to evaluate the resiliency of its computing systems and network infrastructure. The Firm also requires its staff to participate in an annual contact exercise to confirm that Firm personnel, including designated recovery team members and their alternates, can be reached on short notice. In addition, components of the BCP may be reviewed or tested periodically throughout the year to help ensure that recovery strategies remain reasonably aligned with the Firm’s operational needs. The Firm’s ability to resume operations is dependent, in part, on the ongoing availability, capacity, and reliability of third-party information technology systems and service providers.

The Firm maintains a corresponding backup and disaster recovery site located away from its primary office facilities. Applications and systems have been prioritized for recovery, and recovery time objectives are established based on those priorities, with the goal of supporting the continuation of critical business functions within an acceptable timeframe. Actual recovery timeframes may vary depending on the nature and severity of the disruption and the availability of third-party services.

The Firm’s incident management team is responsible for coordinating internal response efforts and communication in the event of an actual or potential disruption to normal business operations. Responsibilities of the incident management team include communication with employees, clients, regulators, and key third-party service providers, as appropriate, in connection with a significant business disruption.

The composition of the incident management team, along with applicable procedures and protocols, is documented within the Firm’s confidential BCP. Hard copies of this disclosure statement may be obtained by contacting your Sendero representative. The Firm’s full BCP is confidential and proprietary and therefore is not available for public distribution.

For more information, please contact:

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